

AWHA Ltd RISK MATRIX

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Area of Risk	Probability	Consequence	Risk Level	Mitigation/Existing Risk Controls	Responsibility	Risk Outcome
Legal						
Negligence given by AWAHA representative	Remote	Not serious to very serious	Low	Ensure AWAHA representatives & Classifiers have know the Breeding Guidelines and Codes of Conduct	AWHA Event Organiser/ Committee	Low
Wrong advice given by AWAHA representative	Occasional	Not serious to extremely serious	Medium	Ensure AWAHA representatives & Classifiers have know the Breeding Guidelines and Codes of Conduct	AWHA Event Organiser/ Committee	Medium
Indemnity Waivers	Occasional	Not serious to extremely serious	Medium	Event organiser to ensure all participants have completed waiver as required	AWHA Event Organiser/ Committee	Medium
Participants	Occasional	Not serious to extremely serious	Medium	Ensure participant is current AWAHA member and horse is registered with AWAHA	AWHA Event Organiser to liaise with AWAHA Federal Registrar	Low
Day Insurances	Occasional	Not serious to extremely serious	Medium	Event organiser to ensure that non-AWAHA members (handlers/riders) at an event have paid day insurance	AWHA Event Organiser to advice AWAHA Financial Administrator	Medium
Moral						
Welfare of horses at an event	Occasional	Not serious to extremely serious	Medium	Ensure all areas of venue are safe Ensure participants are made aware of AWAHA Codes of Conduct as required	AWHA Event Organiser	Medium
Safety of participants and spectators	Remote	Not serious to very serious	Medium	Ensure all areas of venue are safe Ensure check list for RMP completed and adhered to	AWHA Event Organiser	Medium
Financial						
Loss of Assets or Bankruptcy	Remote	Not serious to very serious	Low	Reporting of financials at Annual General Meeting Review and/or Audits carried annually	AWHA Financial Administrator	Low
Loss of information and Website failure	Remote	Not serious to very serious	Medium	Regular backups of information on website to be done Source Code for Website to be kept secure	AWHA President President & Co Secretary	Medium
Sundry						
Illness of key person	Remote	Not serious to very serious	Low	Ensure succession planning for Registrar, Keeper of Stud Book and Financial Administrator for Administration Ensure substitute person for event organiser/judge/classifier is available	AWHA President and Federal Committee AWHA Event Organiser	Low